



I hereby certify that this paper or fee is being deposited with the United States Postal Service and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Leslie Ann Kuden

Name of Person Signing (Type or Print)

Signed

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gerald Goertzen, et al.

Examiner: Not yet assigned

Serial No.: 10/643,010

Art Unit: 3616

Filed: August 18, 2003

For: VEHICLE HAVING AN ANTI-DIVE LOCKOUT MECHANISM

Attorney Docket No.: 12873/04230

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is being filed to fulfill the duty of candor and good faith toward the Patent and Trademark Office, as required pursuant to 37 C.F.R. § 1.56.

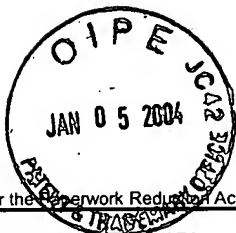
Listed on the attached PTO form 1449 is information known to persons substantively involved in the preparation of the application identified above, and that a reasonable Examiner would consider important when deciding whether to allow the application. This document is not to be construed as a representation that a search to locate the most relevant information has been made, nor a representation that more pertinent information does not exist.

The identification of any information herein is not intended to be, and should not be understood as being, an admission that such information, in fact, constitutes "prior art" within the meaning of applicable law.

This Information Disclosure Statement is being filed within three months of the filing of the subject application and/or prior to an Office Action. Accordingly, it is not believed that any fee is required relating to the filing of this Information Disclosure Statement. If this is not the case, the Patent Office is hereby authorized to charge any related fee to Deposit Account No. 03-0172.

Respectfully submitted,

By: Nenad Pejic
Nenad Pejic (Reg. No. 37,415)
Customer No. 24024
(216) 622-8835



PTO/SB/08A (02-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/643,010
Filing Date	08/18/2003
First Named Inventor	Goertzen et al.
Art Unit	3616
Examiner Name	
Attorney Docket Number	12873/04230

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 3709313	01-09-1973	James	
		US- 3848883	11-19-1974	Breacain	
		US- 3876012	04-08-1975	Regier	
		US- 4341278	07-27-1982	Meyer	
		US- 4437678	03-20-1984	Schultz	
		US- 4500102	02-19-1985	Haury et al.	
		US- 4556229	12-03-1985	Bihler et al.	
		US- 4721322	01-26-1988	Hawkins	
		US- 4886294	12-12-1989	Nahachewski	
		US- 4934626	06-19-1990	Kimura	
		US- 5176393	01-05-1993	Robertson et al.	
		US- 5290055	03-01-1994	Treat, Jr.	
		US- 5241876	08-07-1993	Mathis	
		US- 5294141	03-15-1994	Mentessi et al.	
		US- 5316328	05-31-1994	Bussinger	
		US- 5351774	10-04-1994	Okamoto	
		US- 5531284	07-02-1996	Okamoto	
		US- 5575348	11-19-1996	Goertzen et al.	
		US- 5853059	12-29-1998	Goertzen et al.	

FOREIGN PATENT DOCUMENTS

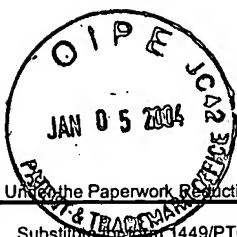
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP 0677285A1	10-18-1995	Goertzen et al.		
		EP 0908166A3	04-14-1999	Pulver		
		PCT WO00/08910	02-24-2000	Taylor		
		PCT WO00/09356	02-24-2000	Dickie et al		
		PCT WO00/12040	03-09-2000	Vea et al		
		PCT WO00/66060	11-09-2000	Kirby		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08A (02-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/643,010
Filing Date	08/18/2003
First Named Inventor	Goertzen et al.
Art Unit	3616
Examiner Name	
Attorney Docket Number	12873/04230

Sheet 2 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6041876	03-28-2000	Pulver et al.	
		US- 6070898	06-06-2000	Dickie et al.	
		US- 6131679	10-17-2000	Pulver et al.	
		US- 6255894 B1	05-01-2001	Kyrtsos	
		US- 6234507 B1	05-22-2001	Dickie et al.	
		US- 6341671 B1	01-29-2002	Ebersole	
		US- 6347688 B1	02-19-2002	Hall et al.	
		US- 6405816 B1	06-18-2002	Kamen et al.	
		US- 6533306 B2	03-18-2003	Watkins	
		US- 6543564 B1	04-08-2003	Kamen et al.	
		US- 6543798 B2	04-08-2003	Schaffner et al.	
		US- 2002/0023787 A1	02-28-2002	Kamen et al.	
		US- 2002/0175027 A1	11-28-2002	Usherovich	
		US- RE. 32,242	09-09-1986	Minnebraker	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		PCT WO03/030800 A1	04-17-2003	Molnar		
		PCT WO82/00445	02-18-1982	Schultz		
		PCT WO92/09463	06-11-1992	LE et al.		
		PCT WO93/24342	12-09-1993	Okamoto		

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.